

**Notice No.:** COMP-2008-050

**Issue Date:** November 27, 2008

**Subject:** Annual SCA License Renewal

**Notice to all SCA licensed Broker Members.**

**Subject:** Emirates Securities and Commodities Authority (SCA) License Renewals

Dear Member,

SCA has rationalized annual license renewal, so that all licenses become due on January 1<sup>st</sup> of each year, commencing January 1 2009; expiring thereafter on December 31<sup>st</sup>.

Members are kindly advised to complete the enclosed forms, which consist of SCA license renewal form – Annexure A; and Undertaking – Annexure B. Along with these documents you are requested to pay the annual renewal fees of AED2,500 by cheque favouring the *Securities & Commodities Authority*. The deadline for payment is December 31, 2008 and all renewal documents, including cheques in favour of the SCA, are to be received at the following address:

Compliance Department  
Dubai Gold & Commodities Exchange  
Emaar Business Park, Building 2,  
Level 1, Sheikh Zayed Road,  
PO Box 37736, Dubai, UAE

Should you wish to remit the annual renewal fees directly to SCA via telegraphic transfer, the bank details are as follows:

<b>Account Name</b>	Securities & Commodities Authority
<b>Bank</b>	Abu Dhabi Islamic Bank, Head Office, Abu Dhabi, UAE. Tel # 00971-2-6343000
<b>Account No.</b>	10344468
<b>Swift Code</b>	For Transfers from USA: ABDIAEAD382328 For Transfers from Rest of the World: ABDIAEAD

Kindly send a copy of your remittance confirmation record to DGCX, along with the completed forms.

Please note that that the Exchange may be required to suspend the Trading Rights of those Members that have not completed the renewal formalities by the due date. {Please refer to DGCX By-Law C.4.3(a)(iv)}

**For Dubai Gold & Commodities Exchange**

**Tony Day**

**Head of Compliance, Audit & Membership**

Emaar Business Park  
Building No. 2, Level1  
P.O Box 37736, Dubai, UAE  
Tel : +9714 361 1616  
Fax : +9714 361 1617  
Email : info@dgcx.ae

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**Annexure A - Application for Renewal of a DGCX Broker License<sup>1</sup>**

1	Company name	
2	Place (country/city) of establishment	
3	Date of Establishment	
4	Net Current Tangible Assets	
5	Type of Membership	
6	Company Directors / Shareholders	
7	General Manager	
8	Operations / Trading manager	
9	DGCX Registered Users	
10	Company contact details	

**Undertaking**

The brokerage company named above undertakes to adhere to all laws, rules and regulations of the SCA as will apply from time to time.

Signed.....

Position in company.....

Date.....Company Stamp.....

<sup>1</sup> To be printed on the letterhead of respective Broker Member

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**Annexure B - Undertaking to be submitted by Broker Member<sup>2</sup>**

Date:

To:

The Compliance Department  
Dubai Gold & Commodities Exchange  
Emaar Business Park  
Building No 2, Level 1,  
Dubai,  
UAE

Sir,

In pursuance of SCA license renewal, We \_\_\_\_\_  
(Broker name) hereby certify that we have taken all the necessary steps to ensure that we  
have complied with DGCX By-laws and DCCC Clearing Rules.

Signed sealed and delivered by

Authorized Signatory

Name:

Designation:

Broker Member

<sup>2</sup> To be printed on the letterhead of respective Broker Member